

Máíhĩki classifiers and non-asserted information

Stephanie Farmer
UNIVERSITY OF CALIFORNIA, BERKELEY

1 Introduction

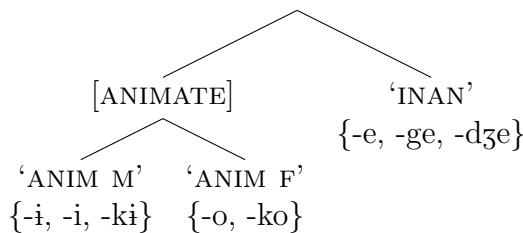
- The noun classification system of Máíhĩki, an endangered Western Tukanoan language of Peruvian Amazonia, is typical of its region (per the descriptions, for instance, in Payne (1986), Seifart (2005), and Gomez-Imbert (2007)) in that it exhibits classifiers that:
 - blur the boundary between derivation and inflection
 - appear in a broad range of morphosyntactic contexts
 - exhibit a semantic split between ‘general’ and ‘specific’ and
 - are involved in reference tracking
- This talk will focus on a particular Máíhĩki construction: **verb root + tensed ‘relativizer’ + classifier**, which may be analyzed in three different ways:
 1. as a nominalized verb
 2. as a relativized verb
 3. as the subordinate verb in a clause-chaining construction
- In this talk, my narrow goals will be:
 - to investigate the **verb root + tensed ‘relativizer’ + classifier** in detail to determine the extent to which there is evidence for each of the three potential analyses;
 - to argue that this construction serves to background information, and that various analyses may be united under the pragmatic umbrella of non-assertion.
- My broader goals will be to speculate about the relationship between classification and non-assertion, and to suggest that the semantic properties of classifiers (bleachedness plus specificity) make them ideal candidates for reference tracking and presupposition.
- **Outline**
 - In §2, I provide background on the Máíhĩki system of noun classification.
 - In §3, I illustrate the construction in question and provide evidence for three structural analyses.
 - In §4, I’ll argue that Máíhĩki classifiers appear in the context of non-asserted information.
 - I will conclude in §5 by speculating about classifiers’ affinity for non-assertion.

2 Background on Classification in Máíhĩki

- Doris Payne’s (1986) description of noun classification in Yagua brought to light the need for data from Amazonian languages by pointing out the ways in which Yagua challenges Allan’s (1977) typology of classification.
- Since then, a surge in descriptions of Amazonian noun classification systems (e.g. those found in Barnes (1990), Derbyshire & Payne (1990), Aikhenvald (2003), Seifart (2005), Gomez-Imbert (2007), Payne (2007), Seifart (2007), Chacon (2012), Silva (2012), Stenzel (2013), Bruil (2014), Farmer (2015), Wojtylak (2017) among others) has helped to paint a clearer picture of the features common to this linguistic area, in particular, that its classifiers:
 - may be both derivational and inflectional;
 - serve to unitize mass nouns;
 - fall along a spectrum from lexical to grammatical;
 - appear in a broad range of morphosyntactic contexts;
 - are involved in agreement and reference tracking; and
 - exhibit a semantic split between ‘generic’ and ‘specific’.
- In this section, I will provide an overview of the classification system found in Máíhĩki, with particular reference to the general–specific split, and to the morphosyntactic environments in which classifiers appear.

2.1 The general–specific split

- The Máíhĩki system of noun classification seems bipartite in a way that other languages of the region (e.g. Yagua (Payne 1986), Miraña (Seifart 2005), Tatuyo (Gomez-Imbert 2007)) have been described: it exhibits a distinction between ‘general’ and ‘specific’ classifiers.
- **Specific** class markers make fine-grained distinctions between physico-cultural properties of entities; **general** class markers make coarser distinctions, typically in animacy, gender, and number.
- The ‘general’ system of noun classification in Máíhĩki is shown in the tree below:



- The ‘specific’ system of noun classification in Máíhĩki involves a large class of mostly shape-based suffixes.
- One way to conceive of this bipartite system is as having a morphosyntactic split between general and specific. General class is relevant for subject agreement with the predicate; specific class is relevant for agreement within the noun phrase.
- Seifart’s description of Miraña, however, describes this as a pragmatic split:
 - Specific class markers may be used to ‘highlight a particular property of a referent that is important in a given discourse situation’ (Seifart, 2005: 320)—for instance, to recall, emphasize, or disambiguate a referent.
- As we will explore, what is meant by ‘finite’ is worthy of more investigation.

2.2 Classifier environments

- Classifiers in Máíhĩki can appear suffixed to:
 - **adjectival roots**, such as *háí-* ‘big’ in (1a)
 - certain **noun roots**, such as the mass noun *ókó* ‘water’ in (1b)
 - **numeral roots**, such as *tèpè-* ‘two’ in (1c)
 - **demonstrative roots**, such as the discourse demonstrative *ítì* in (1d)
 - **verb roots**, such as *átjú* ‘be hot’ in (1e)
 - **‘relativized’ verbs**, such as *táísè* ‘that which fell’ in (1f)

- (1) a. *háíràkà mìníhěähĩtèà*
 háí -raka mìní -hěà -hĩtèà
 big -CL:liquid rise -2STATE.PLACT -3SG.PRES.DECL also
 ‘The water level rose too’ (lit.: ‘the big water rose too’)
- b. *ókóràkà dzétéímèhógí*
 ókó -raka dzété íme -hó -gĩ
 water -CL:liquid break lie.down -PERF -3SG.PAST.DECL
 ‘The water broke open and lay on the ground’ (i.e., it began to rain) (iy6 246.1)
- c. *tèpètárà tjíábí*
 tèpè -tara tjíá -bĩ
 two -CL:cylindrical buy -1PL.PAST.DECL
 ‘We bought two bottles’ (ovi 103.1)
- d. *hínòtá ítìràkà úkúdzítà*
 hínòtá ítì -raka úkú -dzi -ta
 just.now DISCOURSE.DEM -CL:liquid drink -3PL.PRES.DECL -INFO
 ‘They’re drinking the soup’ (cf1 172.1)

- e. átjúràkà kwàkòrè hájùdíòhōgó
 átjú -raka kwàkò -re hájù díò -hō -go
 be.hot -CL:liquid heat pour pour.liquid -SEQ -PERF -3SG.FEM.PAST.DECL
 ‘She heated the water and poured it out [into the worm’s hole]’
- f. ítìjì t̃̀isèdàdì háídziàrà néèhō
 ítì -jì táí -se -dadi háí -dziara néè -hō
 discourse.dem -CL:plant fall -REL.PAST -CL:place big -CL:lake make -2STATE
 ‘The place where the tree fell became the sea’ (mbd 341.1)

- In what follows, I will be focusing on the type of construction in (1f)—**verb root** + **‘relativizer’** + **classifier**.

3 The construction in question

- There is a paradigm of suffixes that have been glossed in previous descriptions of Máihiki (Farmer 2015) as tensed ‘relativizers’.
- This paradigm, shown below, includes three tenses with lenis and fortis allomorphs.

	FORTIS	LENIS
PRESENT	-∅	-∅
PAST	-tʃi	-se
FUTURE	-ha	-hai

Table 1: Máihiki ‘relativizers’

- Present, past, and future examples are shown below:

(2) máíkò h̃̀kàkò, ‘mì áógàrà óímàkì, dzì gáhìgàrà?’

mái -ko h̃̀kà -ko mì áó -gara óí -ma
 go.up -SS.SIM.FEM speak -3F.SUBJ.OVLP you food -CL:clump want -NEG
 -kì dzì gáhì -gara
 -3SG.MASC.Q my hold -CL:clump

‘She went up and said, “You don’t want this ball of food that I’m holding?”’

(3) há sù ńń há sò t f i b ì s à à k ì d í ò h ́
 há sù ńń há sò -t f i -b i s à à -k i d í ò
 shotgun he shoot -REL.PST -CL:thing take -3SG.MASC.OVLP put.in.water
 -h ́
 -PERF

‘He took the shotgun that he had shot and put it in the water’ (aag 114.1)

(4) n í ò r è í b ì d ɔ ò u b à i h á b ì k w á à n í k ó g í
 n í ò -r e í -b i d ɔ u b à i -h á -b i k w á à
 wife -ACC PROX.DEM -CL:vessel canoe be -FUT.REL -CL:vessel pick.up
 n í k ó -g i
 stand.up.TR -3SG.MASC.PAST.DECL

‘He picked up his wife and stood her up on what would become the canoe’ (cf1 180)

- Below, I will discuss potential analyses of these constructions as nominalizations, relativized verbs, and the subordinated verbs of temporal adverbial clauses.

3.1 Nominalization or Relative Clause?

- The construction could feasibly be analyzed as a nominalization or a relative clause.
- For many languages of the Americas, this distinction has been argued to be blurry or irrelevant (Shibatani 2009; Comrie & Thompson 1985).
- Indeed, in Mái h i k i, the construction in question may bear nominal morphology, such as the plural suffix *-ma*:

(5) ú h á b í h ì d à i s è s ú u s è g à r à m à t ì g à r à m à ú k ú d ɔ í í t ì h ù n à
 ú h á b í -h ì d à i -s e s ú u -s e -g a r a
 song get.up -PL.SS.OVLP dance -REL.PST strain -REL.PST -CL:clump
 -m a t ì h ì -g a r a -m a ú k ú -d ɔ í í t ì h ù n à
 -INAN.PL thick -CL:clump -INAN.PL drink -3PL.PRES.DECL they

‘Those who got up and danced drank the masa de pihuayo verde’ (lit.: ‘the thick clumps that had been strained’) (pvd 51.1)

- This construction can be also predicativized in the same way nouns are—via the copula suffixes *-hã* (plural/inanimate), *-agi* (masculine animate), or *-ago* (feminine animate).

(6) ńń m á i r ù r ù b á t f i k ì a g ì
 ńń m á i r ù r ù b á -t f i -k i -a g i
 he upriver live -REL.PST -CL:MASC -COP.ANIM.MASC

‘He lived upriver’ (cmb 4.1)

- It may serve as the possessor or possessum in a possessive construction:

(7) húníhókò bàtǫkò wèè tèà tánìhókí
 húní -hók -ko bà -tǫ -ko wèè tèà tánì -hók
 die.NI -2STATE -CL:FEM be.AUX.NI -REL -CL:FEM house also fall.NI -2STATE
 -gí
 3SG.PST.DECL

‘The house of the woman who had died fell too’

- It is often translated into Spanish as a nominalization.

(8) dzì ǎǎ kòkòkò nà gánàbì
 dzì ǎǎ kòkò -ko nà gánà -bì
 I food cook -CL:FEM then earn -1SG.PST.DECL

‘I made money as a cook’ (svc 204.1)

‘Yo como **cocinera** he ganado’

- But these same constructions in Máíhĩki are undeniably clause-like in that they can describe complex, tensed events and can bear negation and aspectual marking as finite verbs would.
- The most common translation into Spanish is with a relative clause.

(9) dzì dòihúnà dzìò nèemàsèhùnà kátò sáníhèèrè bàìdzì ítìhùnà
 dzì dòì -huna dzìò nèè -ma -se -huna kátò sání
 my siblings -CL:group garden make -NEG -REL.PST -CL:group there go.NI
 -hea -re bàì -dzì ítìhùnà
 -2STATE.PL -SEQ live -3PL.PRES.DECL they

‘My siblings, who didn’t want to make swiddens, went to live elsewhere’ (bag 534.1)

(10) óó sòǎ hàrù ñiàsè hànà béóhĩ
 óó sòǎ hàrù -ña -se hànà béó -hĩ
 plantain be.ripe sit -IMPF -REL.PST now not.exist -3SG.PRES.DECL

‘The plantain (tree) that was sitting here ripe is now gone’ (dos 79.1)

3.2 The construction in question as a clause-chaining device

- Previous descriptions of Máíhĩki have not explored the role of this construction in clause-chaining.
- Farmer (2015) and Michael (2011) claim that complex events in Máíhĩki are expressed via clause-chaining devices that encode switch reference and degree of temporal overlap:

- same-subject temporal overlap suffixes
 - same-subject sequential suffixes
 - different-subject temporal overlap suffixes
 - different-subject sequential suffixes
- I will be concerned here with the same-subject suffixes, as clause-linking function of the construction in question bears most similarity to these.
 - The same-subject temporal overlap suffixes, shown in the table below, indicate that the times of the events of the matrix and dependent clauses are at least partially overlapping.

MASC	-kì
FEM	-ko
PL	-hĩ

Table 2: Same-subject temporal overlap suffixes

- (11) a. dáíkì, máká dáíkì ágáhĩ
 dáí- ki máká dáí -ktextbari ágá -hĩ
 come -MASC.SS.SIM woods come -MASC.SS.SIM shout -3SG.MASC.PRES.DECL
 ‘Coming out of the woods, he called out’ (ttj 101.1)
 ‘Viniendo del monte él llamaba’
- b. ábí kì tikàhĩ, ‘ko, ko, ko’
 ábí -ki tikà -hĩ ko ko ko
 bathe -MASC.SS.SIM hit.PLACT -3SG.MASC.PRES.DECL ONOM ONOM ONOM
 ‘Bathing, he was hitting (the water) making it go, “ko, ko, ko”’ (clp 227.1)
 ‘Bañando estaba golpeando con el sonido “ko, ko, ko”’
- (12) a. yábésàbìkò sáíkó
 yábé sàbì -ko sái -ko
 hide.INTR crawl -FEM.SS.SIM go -3SG.FEM.PRES.DECL
 ‘She went crawling, hiding’ (muj 32.1)
 ‘Escondiéndose y gateando se fue’
- b. ísé kò áómákó
 ísé -ko áó -má -ko
 be.stingy -FEM.SS.SIM feed -NEG -3SG.FEM.PRES.DECL
 ‘Being stingy with food, she didn’t feed [them]’ (hab 40.1)
 ‘Mezquinaba comida y no les daba de comer’

- (13) a. kíùhì sáídǝí
 kíù -hì sáí -dǝí
 clear.path.with.machete -PL.SS.SIM go -3PL.PRES.DECL
 ‘They went clearing the path with machetes’ (cm2 78.1)
 ‘Se fueron macheteando’
- b. ónó tóáhǐ úkúǝí ítìhùnà
 ónó tóá -hǐ úkú -dǝí ítìhùnà
 beverage grind -PL.SS.SIM drink -3.PL.PRES.DECL they
 ‘Grinding the *masato*, they would drink’ (pyj 9.1)
 ‘Moliendo el masato, ellos tomaban’

- The same-subject sequential suffix, *-re*, indicates that the events occur in sequence.

- (14) a. ... násórè éórè héótóòhóbí
 násó -re éó -re héó tóò -hó -bì
 woolly.monkey -ACC tie.up -SS.SEQ throw make.fall -2STATE -1SG.PAST.DECL
 ‘...I tied up the woolly monkey and threw it down’ (130.1)
 ‘... he amarrado el choro y le he botado abajo’
- b. sààrè kwàkòmà!
 sàà -re kwàkò -ma!
 take -SS.SEQ cook -IMP
 ‘Take it and cook it!’ (iy6 437.1)
 ‘¡Llévalo y cocínalo!’

- The **verb root** + ‘**relativizer**’ + **classifier** is also often translated either as an adverbial temporal clause or as a coordinate clause:

- (15) kǎà kámà dǝòòhǐ nátàsèhùnà màmì bèòdǝí
 kǎà kámà dǝòò -hì nátà -se -huna màmì bèò
 then thus do -SS.PL.OVLP wake.up -REL.PST -CL:group name give.name
 -dǝí
 -3PL.PRES.DECL

‘Thus we woke up and baptized’

- (16) úkúsèhùnà úhàràìdǝí ítìhùnà
 úkú -se -huna úhá ràì -dǝí ítìhùnà
 drink -REL.PST -CL:group song sing -3PL.PRES.DECL they
 ‘Drinking, they sang songs’ (pyj 13.1)

(17) bébéhĩ úhàràìdžì

bébé -hĩ úhá ràì -džì
 be.intoxicated -SS.OVLP song sing -3PL.PRES.DECL

‘Intoxicated, they sang’

- I argue that ‘temporal overlap’ suffixes are part of a larger paradigm of clause-chaining devices:

MASC	FEM	PL	
∅ + -kĩ	∅ + -ko	∅ + -hĩ	indicate that the backgrounded event overlaps with the topic time
-tjĩ + -kĩ	tjĩ + -ko	-se + -huna	indicate that a backgrounded event occurs prior to the topic time
-ha + -gĩ	-ha + -go	-hai + -huna	indicate that the backgrounded event occurs after the topic time

Table 3: Clause-chaining suffixes

- These are the ‘general class’ suffixes, but specific class can be used as well.
- We then have three potential analyses of sentences like the one in (18):

(18) játàsèhùnà sáhì

játà -se -huna sá -hì
 wake.up -REL.PST -CL:group go.PST.NI -3PL.PST.DECL

‘The wakers-up left’

‘They who woke up left’

‘They woke up and left’

4 Non-asserted information

- The construction in question has three viable syntactic analyses, but coherent pragmatics: in all cases, it does not make an assertion.
- In other words, it does not consist of ‘what the hearer is expected to know [...] as a result of hearing the sentence’ (Lambrecht 1994), but of what the speaker expects the listener to take for granted.

- Ecuadorian Siona, a closely related Western Tukanooan language, has been described as encoding a distinction between **assertive** and **non-assertive** clause types by Bruil (2014), who notes a close relationship between classifiers and non-assertive agreement markers in the language.
- In earlier talks on Máíhiki classifiers, I argued that the split between ‘general’ and ‘specific’ classification was a grammatical one rather than a pragmatic one (as it had been described for Miraña by Seifart (2005)).
- Seifart (2005) shows that Miraña specific class markers can be finite:

(19) kátu:βhi e:hi ku:muhi

kátu:β -hi e: -hi k´u:muu -hi
 fall -SCM.2D.round DIST -SCM.2D.round turtle -SCM.2D.round

‘It (disc-shaped) fell, that (disc-shaped) turtle’ (Seifart 2005: 80)

(20) tsá-hw:ʔó-tuu tsá-u-ʔwu mε pikó:ʔi
 one-SCM.palmleaf-ABL one-SCM.string-DIM 1/2S put-PRD
 ‘From one palm leaf, one puts one string’

- In Máíhiki, the domain of ‘general’ class is subject agreement in declarative sentences; the domain of ‘specific’ class is agreement within the noun phrase.
- But in contexts where the speaker says something assumed to be known by the interlocutor, specific classifiers may be used, and the non-assertive construction may stand alone.

(21) tómétìkà

tómé -tika
 fall -CL:stick

‘It (stick-shaped) is falling’

Context: the speaker and I are both watching a pen fall

- This calls for teasing apart the notions of finiteness and assertion, as e.g. Klein (2006) attempts to do.

5 Discussion: classifiers and non-asserted information

- Classifiers work well in contexts of presupposition and reference tracking because they are semantically bleached, encoding a single, narrow dimension of meaning.
- Reference is possible when the speaker correctly assumes the interlocutor is on the same page:

- the fact that the speaker assumes common ground can be signaled by the semantic underspecificity of a classifier;
 - the nature of the common ground that the speaker assumes can be hinted at by the semantic narrowness of a classifier.
- Máíhĩki and other languages of the Amazon basin provide rich potential for an investigation into the interrelatedness of subordination, non-finiteness, non-assertion, and presupposition, and the role of classifiers in each.

6 Acknowledgements

The observations about Máíhĩki contained in this talk stem from fieldwork conducted from June through August of 2010, 2011, 2012, 2013, and 2014 as part of the Máíhĩki Documentation Project. I wish extend my gratitude to the Máíhùnà for sharing with me their home, their language, and their insights. I acknowledge the support of NSF BCS-1065621 and the Robert L. Oswalt Graduate Student Support Endowment for Endangered Language Documentation. The author’s fieldwork was conducted mainly in the community of Nueva Vida on the Yanayacu River with speakers of the western dialect of Máíhĩki. Many of the texts cited in this presentation were collected by Amalia Skilton (UC Berkeley) in the communities of Sucusari and El Estrecho.

Appendix A Glossing abbreviations used

2STATE	second state
ACC	accusative
ANIM	animate
AUX	auxiliary
CL	classifier
COP	copula
DECL	declarative
DEM	demonstrative
DISCOURSE	discourse
DS	different subject
FEM	feminine
FUT	future
IMP	imperative
IMPF	imperfect
INAN	inanimate
INFO	information structure particle
INTR	intransitive verb
MASC	masculine
NI	ni-class verbs
ONOM	onomatopoeia
OVLP	temporal overlap
PL	plural
PRES	present
PROX	proximal
PST	past tense
Q	interrogative
REL	'relativizer'
SEQ	sequential
SIM	simultaneous
SS	same subject
TO	temporal overlap
TR	transitive verb

Appendix B Texts used

CODE	AUTHOR	TITLE
aag	SLA	áìkò ágàyà
bag	OLG	Biografías de Roberto Lopez (Kíno) y Amelia Gordillo de Jesus (Neeho)
cf1	ARS	Cómo formó la tierra, parte 1
clp	EMR	Máínenó y la primera collpa
cm2	TRR	Cómo mataba la gente antes
cmb	LPR	Cómo murió Babi
dos	EMR	El hombre que mezquinó sus dos mujeres
hab	LTN	El hijo abandonado
iy6	RRO	Hijo del tigre del cielo, parte II
mbd	LGF	Máínenó crea los animales
muj	LGF	La mujer que agarró el alma de su marido
ovi	OLG	Viaje de Otilia a Iquitos I
pvd	JMM	Fiesta de Pijuayo Verde
pyj	SRF	Cómo se preparaba ayahuasca y toé antes
svc	SLA	Viaje de Soraida al congreso de 2012
ttj	TRR	Toadareyai

References

- AIKHENVALD, ALEXANDRA Y. 2003. *Classifiers: A Typology of Noun Categorization Devices*. Oxford: Oxford University Press.
- BARNES, JANET. 1990. Classifiers in Tuyuca. *Amazonian linguistics: Studies in lowland South American languages*, ed. by Doris L. Payne, 273–92. University of Texas Press.
- BRUIL, MARTINE. 2014. *Clause-typing and Evidentiality in Ecuadorian Siona*. Universiteit Leiden PhD dissertation.
- CHACON, THIAGO. 2012. *The Phonology and Morphology of Kubeo: The Documentation, Theory and Description of an Amazonian Language*. University of Hawai‘i at Mānoa PhD dissertation.
- COMRIE, BERNARD, and SANDRA THOMPSON. 1985. Lexical nominalization. *Language typology and syntactic description: Grammatical categories and the lexicon*, 349–398. Cambridge, England: Cambridge University Press.
- DERBYSHIRE, DESMOND C., and DORIS L. PAYNE. 1990. Noun Classification Systems of Amazonian Languages. *Amazonian Linguistics: Studies in Lowland South American Languages*, ed. by Doris L. Payne, 243–271. Austin: University of Texas Press.
- FARMER, STEPHANIE. 2015. Establishing reference in Máíhìkì. *University of California, Berkeley PhD dissertation*.

- GOMEZ-IMBERT, ELSA. 2007. Tukanooan nominal classification: The Tatuyo System. *Language Endangerment and Endangered Languages: Linguistic and Anthropological Studies with Special Emphasis on the Languages and Cultures of the Andean-Amazonian Border Area*, ed. by Leo Wetzels, 401–28.
- KLEIN, WOLFGANG. 2006. On finiteness. *Semantics in acquisition*, 245–272. Springer.
- LAMBRECHT, KNUD. 1994. Information structure and sentence form: Topic. *Focus*.
- MICHAEL, LEV. 2011. *La reconstrucción y la clasificación interna de la rama Kampa de la familia Arawak*. Talk given at CILLA V, October 6-8, Austin.
- PAYNE, DORIS L. 1986. Noun Classification in Yagua. *Noun Classes and Categorization*, ed. by Colette Craig, 113–131. Amsterdam; Philadelphia: John Benjamins.
- PAYNE, DORIS L. 2007. Source of the Yagua Nominal Classification System. *International Journal of American Linguistics* 73.447–474.
- SEIFART, FRANK. 2005. *The Structure and Use of Shape-based Noun Classes in Miraña (North West Amazon)*. Radboud Universiteit PhD dissertation.
- SEIFART, FRANK. 2007. The Prehistory of Nominal Classification in Witotoan Languages. *International Journal of American Linguistics* 73.411–445.
- SHIBATANI, MASAYOSHI. 2009. Elements of complex structures, where recursion isn't. *Syntactic complexity. Diachrony, acquisition, neuro-cognition, evolution (TSL 85)*, 163–198.
- SILVA, WILSON. 2012. *A Reference Grammar of Desano*. University of Utah dissertation.
- STENZEL, KRISTINE. 2013. *A Reference Grammar of Kotiria (Wanano)*. University of Nebraska Press.
- WOJTYLAK, KATARZYNA IZABELA. 2017. *A grammar of Murui (Bue): a Witotoan language of Northwest Amazonia*. James Cook University dissertation.